

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

ERKKI HEINONEN ET AL.

Appln. No. 10/091,169

Filed: March 4, 2002

Group Art Unit: 3736

Examiner:

NON-INVASIVE DETERMINATION OF  
CONDITIONS IN THE CIRCULATORY  
SYSTEM OF A SUBJECT

## SUBMISSION OF MISSING PARTS

Milwaukee, Wisconsin 53202

May 10, 2002

Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

Sir:

This correspondence is responsive to the Notice to File Missing Parts of Application - Filing Date Granted mailed March 28, 2002. This correspondence is being submitted within two months of the mailing date of the Notice to File Missing Parts of Application. No petition for an extension of time pursuant to 37 C.F.R. §1.136 is deemed necessary.

Responsive to the Notice to File Missing Parts of Application - Filing Date Granted mailed March 28, 2002, we enclose the following documents/items.

1. A copy of the Notice of March 28, 2002.
2. A declaration in this application executed by the inventor(s). The declaration includes the name(s) of the inventor(s), the title of the invention which were on the specification, as filed, and this cover letter includes the Appln. No. and filing date of the application. The application that the inventor(s) executed by signing the attached declaration is believed adequately identified in accordance with 1177 OG 60 of August 5, 1995.

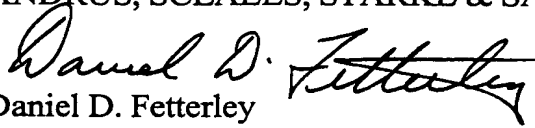
## 3. A Preliminary Amendment.

4. A check in the amount of \$2,158.00 in payment of the filing fee of \$2,028.00 including 76 claims, 3 of which is/are independent; and \$130.00 in payment of the surcharge as set forth in 37 C.F.R. §1.16(e). Kindly charge any additional fees under 37 C.F.R. §§1.16 or 1.17 incurred in connection with this application to Andrus, Sceales, Starke & Sawall Deposit Account No. 01.2000. A duplicate copy of this submission is attached.

Issuance of a filing receipt and advancement of the application to examination is respectfully requested.

Respectfully submitted,

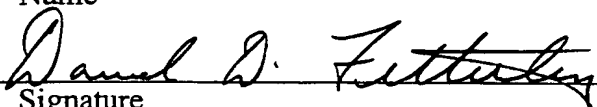
ANDRUS, SCEALES, STARKE & SAWALL, LLP

  
Daniel D. Fetterley  
(Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100  
Milwaukee, Wisconsin 53202  
(414) 271-7590  
Atty. Docket No. 2532-00290 (C.12139-79)

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on the 10<sup>th</sup> day of May, 2002.

Daniel D. Fetterley	20,323
Name	Reg. No.
	5/10/02
Signature	Date

Please type a plus sign (+) inside this box [ + ]

Patent and Trademark Office: U.S. Department of Commerce

0001/PTO  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

MAY 24 2002

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of pages in this Submission

6+

Application Number	10/091,169
Filing Date	March 4, 2002
First Named Inventor	Erkki Heinonen
Group Art Unit	3736
Examiner Name	
Attorney Docket Number	2532-00290

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment/Response
  - ☐ After final
  - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/
  - ☐ Incomplete Application
  - ☒ Response to Missing Parts Under 37.1.52 or 1.53
- ☐ Request to Rescind Previous Nonpublication Request

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CDs \_\_\_\_\_

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group ( Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below)

Return receipt postcard

**PRELIMINARY AMENDMENT**


Remarks:

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Daniel D. Fetterley (Reg. No. 20,323) ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Daniel D. Fetterley</i>
Date	5/10/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the 10th day of May, 2002.

Typed or printed name	Daniel D. Fetterley		
Signature		Date	5/10/02

COPY OF TRANSMITTAL FORM FILED



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 24 2002

JG48

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,169	03/04/2002	Erkki Heinonen	2532-00290

CONFIRMATION NO. 9925

## FORMALITIES LETTER



\*OC000000007739502\*

DANIEL D. FETTERLEY  
 ANDRUS, SCEALES, STARKE & SAWALL, LLP  
 Suite 1100  
 100 East Wisconsin Avenue  
 Milwaukee, WI 53202-4178

 COPY OF PAPERS  
 ORIGINALLY FILED

Date Mailed: 03/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1216.
  - \$936 for 52 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2086.

Adjustment date: 06/13/2002 MTEKLEMI  
 05/28/2002 SDENBOB1 00000016 10091169  
 04 FC:103

-1008.00 OP

A copy of this notice **MUST** be returned with the reply.

05/13/2002 MTEKLEMI 00000006 10091169  
 01 FC:103

990.00 OP

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/13/2002 MTEKLEMI 0000285500  
 Name/Number: 10091169  
 FC: 704

05/28/2002 SDENBOB1 00000016 10091169  
 740.00 OP  
 280.00 OP  
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 01 FC:101  
 02 FC:104  
 03 FC:105  
 04 FC:103

PTO/SB/17 (8/96)  <div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; margin: 10px auto;"> <div style="text-align: center;">             OIP MAY 24 2002 FEE TRANSMITTAL           </div> </div>		<div style="text-align: center; border: 1px solid black; padding: 2px;">COMPLETE IF KNOWN</div> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>10/091,169</td></tr> <tr><td>Filing Date</td><td>March 4, 2002</td></tr> <tr><td>First Named Inventor</td><td>Erkki Heinonen</td></tr> <tr><td>Group Art Unit</td><td>3736</td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Attorney Docket Number</td><td>2532-00290</td></tr> </table>		Application Number	10/091,169	Filing Date	March 4, 2002	First Named Inventor	Erkki Heinonen	Group Art Unit	3736	Examiner Name		Attorney Docket Number	2532-00290																																																																																																																																																																																
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METHOD OF PAYMENT (check one) 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: <b>01.2000</b> Deposit Account Name: <b>ANDRUS, SCEALES, STARKE &amp; SAWALL, LLP</b> <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27																																																																																																																																																																																															
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3. CLAIMS <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="6">SUBTOTAL (2) (\$)</td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim		109	84	209	42	Reissue independent claims over original patent		110	18	210	9	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)																																																																																																																																																									
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SUBMITTED BY: <b>Daniel D. Fetterley</b> Registration No. <b>20,323</b> Telephone <b>(414) 271-7590</b> Signature: <i>Daniel D. Fetterley</i> Date <b>5/10/02</b>																																																																																																																																																																																															